



**IN THE UNITED STATES PATENT & TRADEMARK OFFICE**

Applicant: Kevin P. Baker, et al. Docket No.: 39780-2830P1C5  
Serial No.: 10/006,746 Group Art Unit: 1647  
Filing Date: December 6, 2001 Examiner: Hamud, Fozia M.  
For: **SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND  
NUCLEIC ACIDS ENCODING THE SAME**

Mail Stop: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**REQUEST FOR CORRECTED FILING RECEIPT**

Sir:

Attached is a copy of the official filing receipt received from the USPTO in the above application for which issuance of a corrected filing receipt is respectfully requested. The domestic priority data and foreign applications for this application are incorrectly entered.

The correct priority data, as evidenced by the attached copy of the preliminary amendment filed on August 29, 2002, is:

--This application is a continuation of, and claims priority under 35 USC §120 to, US Application 09/946,374 filed 9/4/2001, which is a continuation of, and claims priority under 35 USC §120 to, PCT Application PCT/US00/04342 filed 2/18/2000, which is a continuation-in-part of, and claims priority under 35 USC §120 to, US Application 09/403,297 filed 10/18/1999, now abandoned, which is the National Stage filed under 35 USC §371 of PCT Application PCT/US99/20111 filed 9/1/1999, which claims priority under 35 USC §119 to US Provisional Application 60/101,915 filed 9/24/1998.--

CERTIFICATE OF EXPRESS MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated below and addressed to: Mail Stop: Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA on the date indicated below.			
Express Mail Label: EL 993 692 120 US			
Typed or printed name	Sandra Puljic		
Signature	<i>Sandra Puljic</i>	Date	September 23, 2004

The correction is not due to any error by applicant and no fee is due. However, in the event that a fee is due, the Commissioner is hereby authorized to charge any such fees to Deposit Account No. 08-1641 (Atty. Docket No. 39780-2830P1C5).

Respectfully submitted,



Anna L. Barry  
Reg. No. 51,436

Dated: September 23, 2004

**HELLER EHRMAN WHITE & McAULIFFE LLP**  
Customer No. 09157/35489  
275 Middlefield Road  
Menlo Park, CA 94025  
Tel: (650) 324-7000  
Fax: (650) 324-0638



12/10/04

Receipt  
IFK**IN THE UNITED STATES PATENT & TRADEMARK OFFICE**

Applicant: Kevin P. Baker, et al. Docket No.: 39780-2830P1C5  
Serial No.: 10/006,746 Group Art Unit: 1647  
Filing Date: December 6, 2001 Examiner: Hamud, Fozia M.  
For: **SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND  
NUCLEIC ACIDS ENCODING THE SAME**  
Mail Stop: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SECOND REQUEST FOR CORRECTED FILING RECEIPT**

Sir:

Attached is a copy of the corrected filing receipt received from the USPTO in the above application. Applicant respectfully requests issuance of a corrected filing receipt as a *Notice of Allowance* has been issued in this application. The foreign applications listed for this application are incorrectly entered.

The correct priority data, as evidenced by the attached copy of the preliminary amendment filed on August 29, 2002 and in the first Request for Corrected Filing Receipt filed on September 23, 2004 is:

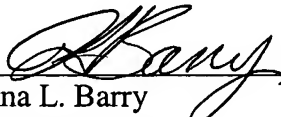
--This application is a continuation of, and claims priority under 35 USC §120 to, US Application 09/946,374 filed 9/4/2001, which is a continuation of, and claims priority under 35 USC §120 to, PCT Application PCT/US00/04342 filed 2/18/2000, which is a continuation-in-part of, and claims priority under 35 USC §120 to, US Application 09/403,297 filed 10/18/1999, now abandoned, which is the National Stage filed under 35 USC §371 of PCT Application PCT/US99/20111 filed 9/1/1999, which claims priority under 35 USC §119 to US Provisional Application 60/101,915 filed 9/24/1998.--

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Express Mail Label: EL 993 692 120 US			
Typed or printed name	Sandra Puljic		
Signature	Sandra Puljic	Date	December 8, 2004

The correction is not due to any error by applicant and no fee is due. However, in the event that a fee is due, the Commissioner is hereby authorized to charge any such fees to Deposit Account No. 08-1641 (Atty. Docket No. 39780-2830P1C5).

Respectfully submitted,

Dated: December 8, 2004

  
\_\_\_\_\_  
Anna L. Barry  
Reg. No. 51,436

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# UNITED STATES PATENT AND TRADEMARK OFFICE

DEC 08 2004

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRANT UNIT	RECEIVED	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/006,746	12/06/2001	1646	740	GNE.2830P1C5	249	13	2

30313  
KNOBBE, MARTENS, OLSON & BEAR, LLP  
620 NEWPORT CENTER DRIVE  
SIXTEENTH FLOOR  
NEWPORT BEACH, CA 92660

CONFIRMATION NO. 8217

UPDATED FILING RECEIPT



\*OC000000008617648\*

Date Mailed: 08/13/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Kevin P. Baker, Darnestown, MD;  
David Botstein, Belmont, CA;  
Luc Desnoyers, San Francisco, CA;  
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Daniel Tumas, Orinda, CA;  
Colin K. Watanabe, Moraga, CA;  
P. Mickey Williams, Half Moon Bay, CA;  
William I. Wood, Hillsborough, CA;

## Assignment For Published Patent Application

Genentech, Inc.;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 09/946,374 09/04/2001

**Foreign Applications**

PCT/US99/00106 01/05/1999  
PCT/US99/20111 09/01/1999  
PCT/US99/21194 09/15/1999  
PCT/US99/28313 11/30/1999  
PCT/US99/28551 12/02/1999  
PCT/US99/30095 12/16/1999  
PCT/US00/00219 01/05/2000  
PCT/US00/00376 01/06/2000  
PCT/US00/03565 02/11/2000  
PCT/US00/04342 02/18/2000  
PCT/US00/05004 02/24/2000  
PCT/US00/05841 03/02/2000  
PCT/US00/06884 03/15/2000  
PCT/US00/13705 05/17/2000  
PCT/US00/14042 05/22/2000  
PCT/US00/14941 05/30/2000  
PCT/US00/15264 06/02/2000  
PCT/US00/23328 08/24/2000  
PCT/US00/23522 08/23/2000  
PCT/US00/30873 11/10/2000  
PCT/US00/30952 11/08/2000  
PCT/US00/32678 12/01/2000  
PCT/US01/06520 02/28/2001  
PCT/US01/06666 03/01/2001  
PCT/US01/17800 06/01/2001  
PCT/US01/19692 06/20/2001  
PCT/US01/21066 06/29/2001  
PCT/US01/21735 07/09/2001

**If Required, Foreign Filing License Granted 08/13/2002**

**Projected Publication Date: 11/21/2002**

**Non-Publication Request: No**

**Early Publication Request: No**

**Title**

Secreted and transmembrane polypeptides and nucleic acids encoding the same

**Preliminary Class**

530

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

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## UNITED STATES PATENT AND TRADEMARK OFFICE

DEC 08 2004

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	... CLMS
10/006,746	12/06/2001	1647	740	GNE.2830P1C5	249	13	2

GINGER R. DREGER  
 HELLER EHRMAN WHITE & MCAULIFFE LLP  
 275 MIDDLEFIELD ROAD  
 MENLO PARK, CA 94025

**RECEIVED**

OCT 05 2004

HELLER EHRMAN

CONFIRMATION NO. 8217  
 CORRECTED FILING RECEIPT  
 \*OC000000013960265\*  
 \*OC000000013960265\*

Date Mailed: 09/30/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

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 James Pan, Belmont, CA;  
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 Victoria Smith, Burlingame, CA;  
 Timothy A. Stewart, San Francisco, CA;  
 Daniel Tumas, Orinda, CA;  
 Colin K. Watanabe, Moraga, CA;  
 P. Mickey Williams, Half Moon Bay, CA;  
 William I. Wood, Hillsborough, CA;

## Assignment For Published Patent Application

Genentech, Inc.

## Power of Attorney:

DOCKETED



Elizabeth Barnes-35059

**Domestic Priority data as claimed by applicant**

This application is a CON of 09/946,374 09/04/2001  
which is a CON of PCT/US00/04342 02/18/2000  
which is a CIP of 09/403,297 10/18/1999 ABN  
which is a 371 of PCT/US99/20111 09/01/1999  
which claims benefit of 60/101,915 09/24/1998

**Foreign Applications**

UNITED STATES OF AMERICA PCT/US99/00106 01/05/1999  
UNITED STATES OF AMERICA PCT/US99/20111 09/01/1999  
UNITED STATES OF AMERICA PCT/US99/21194 09/15/1999  
UNITED STATES OF AMERICA PCT/US99/28313 11/30/1999  
UNITED STATES OF AMERICA PCT/US99/28551 12/02/1999  
UNITED STATES OF AMERICA PCT/US99/30095 12/16/1999  
UNITED STATES OF AMERICA PCT/US00/00219 01/05/2000  
UNITED STATES OF AMERICA PCT/US00/00376 01/06/2000  
UNITED STATES OF AMERICA PCT/US00/03565 02/11/2000  
UNITED STATES OF AMERICA PCT/US00/04342 02/18/2000  
UNITED STATES OF AMERICA PCT/US00/05004 02/24/2000  
UNITED STATES OF AMERICA PCT/US00/05841 03/02/2000  
UNITED STATES OF AMERICA PCT/US00/06884 03/15/2000  
UNITED STATES OF AMERICA PCT/US00/13705 05/17/2000  
UNITED STATES OF AMERICA PCT/US00/14042 05/22/2000  
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UNITED STATES OF AMERICA PCT/US00/30873 11/10/2000  
UNITED STATES OF AMERICA PCT/US00/30952 11/08/2000  
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UNITED STATES OF AMERICA PCT/US01/17800 06/01/2001  
UNITED STATES OF AMERICA PCT/US01/19692 06/20/2001  
UNITED STATES OF AMERICA PCT/US01/21066 06/29/2001  
UNITED STATES OF AMERICA PCT/US01/21735 07/09/2001

**If Required, Foreign Filing License Granted: 08/13/2002**

**The number of your priority application, to be used for filing abroad under the Paris Convention is,  
US10/006,746**

**Projected Publication Date: Not Applicable**

**Non-Publication Request: No**

**Early Publication Request: No**

**Title**

Secreted and transmembrane polypeptides and nucleic acids encoding the same

**Preliminary Class**

536

**LICENSE FOR FOREIGN FILING UNDER**  
**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

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